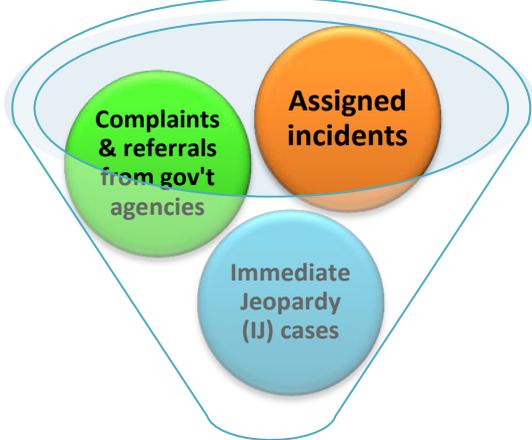


# Developmental Disabilities Unit Investigations



## INVESTIGATIONS

**Investigator's report and statement of any deficiencies**

- Unsubstantiated allegations & providers in compliance do not require a POC

**Plan of correction (POC) submitted**

**POC accepted or rejected**

- Providers who cannot draft an acceptable POC are referred to DDA for technical assistance
- If the POC is rejected, move to Administrative Action

**ADMINISTRATIVE ACTION**

- Supervisory staff may recommend sanctions for deficiencies of moderate or severe impact or failure to submit an acceptable POC.

**Administrative review or on-site investigation**

- Investigations requiring no further action are closed

**Incident or complaint assigned**

**Incident or complaint categorized and prioritized**

**ADMINISTRATIVE ACTION PROCESS**

**Provider notified of recommended sanctions**

Some providers surrender their license if recommended sanctions are too much to continue operations.

**Settlement conference with enforcing agency**

Provider may consent to monitored intermediate sanctions such as directed plans of correction or a settlement agreement.

Provider does not consent and wants to move forward

**Administrative Hearing**

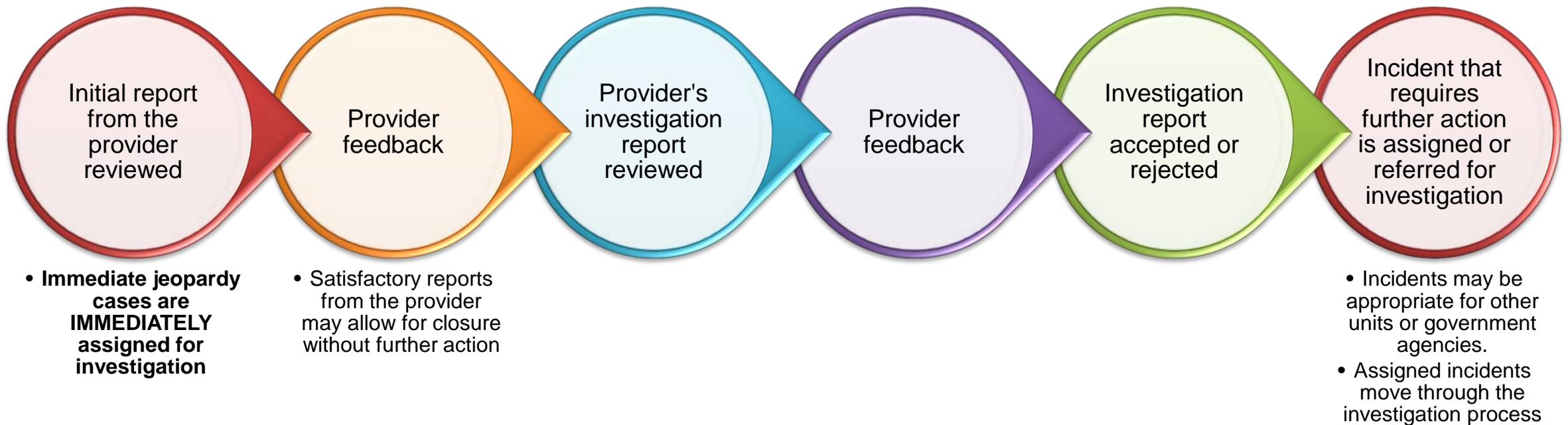
Sanction upheld and provider still disagrees?

**Appeal to Circuit Court**

**Sanctions upheld or struck**

**[ New, escalated sanctions such as suspension or revocation require going through this process again ]**

# Developmental Disabilities Provider Self-reported Incidents Cycle



Incidents that require investigation by a monitoring agency may be assigned at any point in this process