Developmental Disabilities Administration Waiting List Initiative FY 12

Waiting List Advisory Committee Meeting

August 12, 2011

Guiding Principles

- Given the significant unmet need, spend $15 million to serve people with developmental disabilities.
- Spend the funds as legislature intended: funding services for people in the crisis resolution and crisis prevention categories
- Maximize the use of State General funds with a federal match.

Proposed Stages of Implementation

Stage 1 of Implementation

July 2011 – January 2012

- Place everyone in Crisis Resolution in appropriate services.
- People in the Crisis Prevention category would be reminded of the criteria for the Crisis Resolution category and reassessed as appropriate.
- Offer funding this fiscal year for services of short duration to people in the Crisis Prevention category.

Today's Agenda

- Update on Activities and Current Data
- Service Initiation Process Update
- Service of Short Duration Update

Stakeholder Meetings

Group	Date
DD Coalition	June 9, 2011
Waiting List Advisory Committee	June 13, 2011
Services of Short Duration	June 20, 2011 and June 27, 2011
Service Initiation Process	June 24, 2011
Provider Capacity Meeting	July 11, 2011
Resource Coordination Capacity Meeting	July 12, 2011

Statewide Capacity Assessment

Providers

- MACS and non-MACs providers survey
  - Ability to provide immediate services (to address crisis)
  - Interest in expanding

Resource Coordination

- Survey sent to Resource Coordination providers regarding current FTEs

Resource Coordination Capacity

- Priority for Resource Coordination realigned for people on the Waiting List
- Everyone in Crisis Resolution has a Resource Coordinator or will be assigned as identified
- Everyone in Crisis Prevention (except in Montgomery County) has a Resource Coordinator or will be assigned as identified. We are working with Montgomery County to assure services.

Provider Capacity Survey Results

- 89 Total surveys returned
  (44% of all DDA licensed providers)
  33 Central / 24 Southern
  18 Western / 14 Eastern

- 22 providers indicated an interest in expanding services

- Some interested in expanding into other regions

Service	24-72 Hours	1-3 Months	4-6 Months	7-9 Months	10-12 Months
Residential	5	25	17	X	3
CSLA	6	33	6	X	X
Supported Employment	8	24	3	1	X
Day Habilitation	9	22	3	1	X
FISS	7	27	3	X	X

Data Update

The DDA Waiting List

DDA Waiting List information reflected below is data as of July 1, 2011

DDA Waiting List				
Eligibility Category	Crisis Resolution	Crisis Prevention	Current Request	Total
Developmental Disability	100	764	3339	4203
Supports Only	7	375	1953	2335
Total	107	1139	5292	6538

Types of Data Elements

DDA will report each month on the cumulative number of people who:

- Have been assigned a Resource Coordinator
- Have had needs assessed
- Have Individual Plans (IPs) that define services
- Have Service Funding Plan (SFP)
- Have started services as designated in their IP

Crisis Resolution
May 2011 - August 2011

	Number of People	Percentage
Total People Targeted	172	N/A
Total Removed from CR Category	80	47% (80/172)
Placed in services	66	84% (66/79)
Prior to July 1st	42	65% (42/65)
After July 1st	24	37%(24/65)
Moved to another priority	12	18% (12/65)
Deceased	2	3% (2/65)
Inactivated	0	N/A
Current # of People in CR Category	92	53% (92/172)

Note: Data reflects unduplicated people and service initiation status reported as of August 10, 2011.

Current People in Crisis Resolution Service Initiation Status

	Number of People
People in CR Category	92
Assigned a resource coordinator	92
Needs assessed	69
Individual Plan Developed	53
Service Funding Plan	23
	Service Initiation

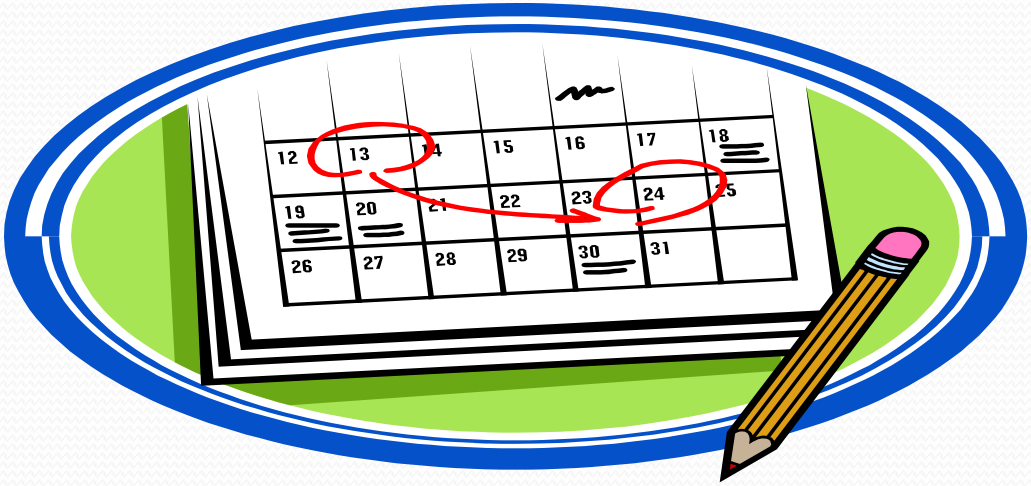
Note: Data as of August 10, 2011.

Services Initiated

Service	Number of People
Residential	46
Day	15
Supported Employment	11
Community Supported Living Arrangement	9
Behavioral Supports/Respite	1

Note: Data as of August 10, 2011 based on service funding plan.
Data reflects duplicative counts as people access more than one type of service model.

Streamlined Service Initiation Process



Proposed Process for Coordinating Services for this Initiative

- Resource Coordination services for people identified to receive full services under this initiative
- Coordination of services of short duration for people in Crisis Prevention
- Commitment to:
  - Expedite processing
  - Tracking/Reporting
  - Triaging emergency services
  - Piloting new IP module

Basic Changes to Service Initiation Process

Streamline key activities such as :

- Initial assessment of need
  - Critical Needs List Recommendation
  - Matrix Assessment
- Plan development
- Fiscal Processes
  - Expedited funding protocol to determine funding allocation amounts and disbursement to support people to begin services as quickly as possible.
  - Individual Plan versus Service Funding Plan

Week 1 : Service Initiation Process for Alcohol Tax Waiting List Initiative

DAY 1

Person contacts DDA Regional Office (RO) for services

DAY 2

RO refers for Assessment of Need and Priority Status (*Critical Needs List R*eview-)

DAY 3-4

Resource Coordinator or RO meet with person to begin CNLR

DAY 5-6

CNLR completed and at the RO

DAY 7

RO identifies the person as eligible for FY 12 WLI funds and refers his/her name to DDA HQ

Person referred by RO for on-going Resource Coordination services

Week 2: Service Initiation Process for Alcohol Tax Waiting List Initiative

SERVICES CAN NOW BEGIN

DAY 8

HQ will enter the person's name on the WLI Tracking Form

Resource Coordinator assigned by local RC provider

DAY 9

WLI *Funding Authorization Letter* sent to the person & Resource Coordinator

Matrix assessment requested

Resource Coordinator Contacts Person/Family

DAY 10

RC Initial Meeting to:

- Develop Plan
- Develop a preliminary budget
- Waiver App & Forms
- Explore generic & community resources

DAY 12

Service Provider Exploration (Residential, and/or Day Habilitation / Supported Employment Services)

DAY 13

Matrix score received

Person's budget adjusted (if applicable)

Waiver Packet to HQ

Updated Funding Letter

DAY 14

Individual Plan updated with new funding

Fiscal Processing

- Expedited Funding
- Authorization Document
  - Waiting List Initiative Funding Authorization Letter
  - Individual Plan

Expedited Funding Proposal

Waiting List Initiative Funding Authorization

- Given to the person and Resource Coordinator to share with the provider
- Commits to funding for services
- Authorizes base levels of funding
- May include:
  - Support services
  - Day Habilitation/Supported Employment services
  - Residential /CSLA services
- Residential services will also include service initiation funds
- Funding allocations will be adjusted (as appropriate) after Individual Rating Scale (IIRS) is completed

Support Services Funding Proposal

Before a long-term service provider is selected

- Can be used as a triage strategy and long-term support
- Immediate services to address crisis needs with long-term planning
- Amount of allocation determined by:
  - FY **11** Family and Individual Support Services (FISS) allocations
  - County where services are provided

Initial Residential Services Funding Determinations

- People may choose:
  - Existing DDA licensed site
  - New DDA licensed site
- Initial base budget at a minimum = approx.$35,000
- Based on county of residence
- Up to an additional $5,000 for transitional supports
- Additional monies for transportation services may be included

Transportation services include supporting the person in learning how to access and utilize informal, generic, and public transportation for independence and community integration.

- $5,000 - $7,500 based on accessibility needs

Initial Day Habilitation and Supported Employment Services Funding Determinations

- Initial base budget of $12,000
- Based on county of residence
- Additional monies for transportation services may be included

Transportation services include supporting the person in learning how to access and utilize informal, generic, and public transportation for independence and community integration

  - $5,000 - $7,500 based on accessibility needs

Pilot Individual Plan

- Propose piloting new shorter, streamlined document
- Proposed plan includes outcomes, service scope, frequency, duration, provider, and funding
- Also includes initial triage services, long-term service plans, and accessing generic/community services
- Serves as a legal contract for other Medicaid waiver programs
- Propose to use in lieu of service funding plan

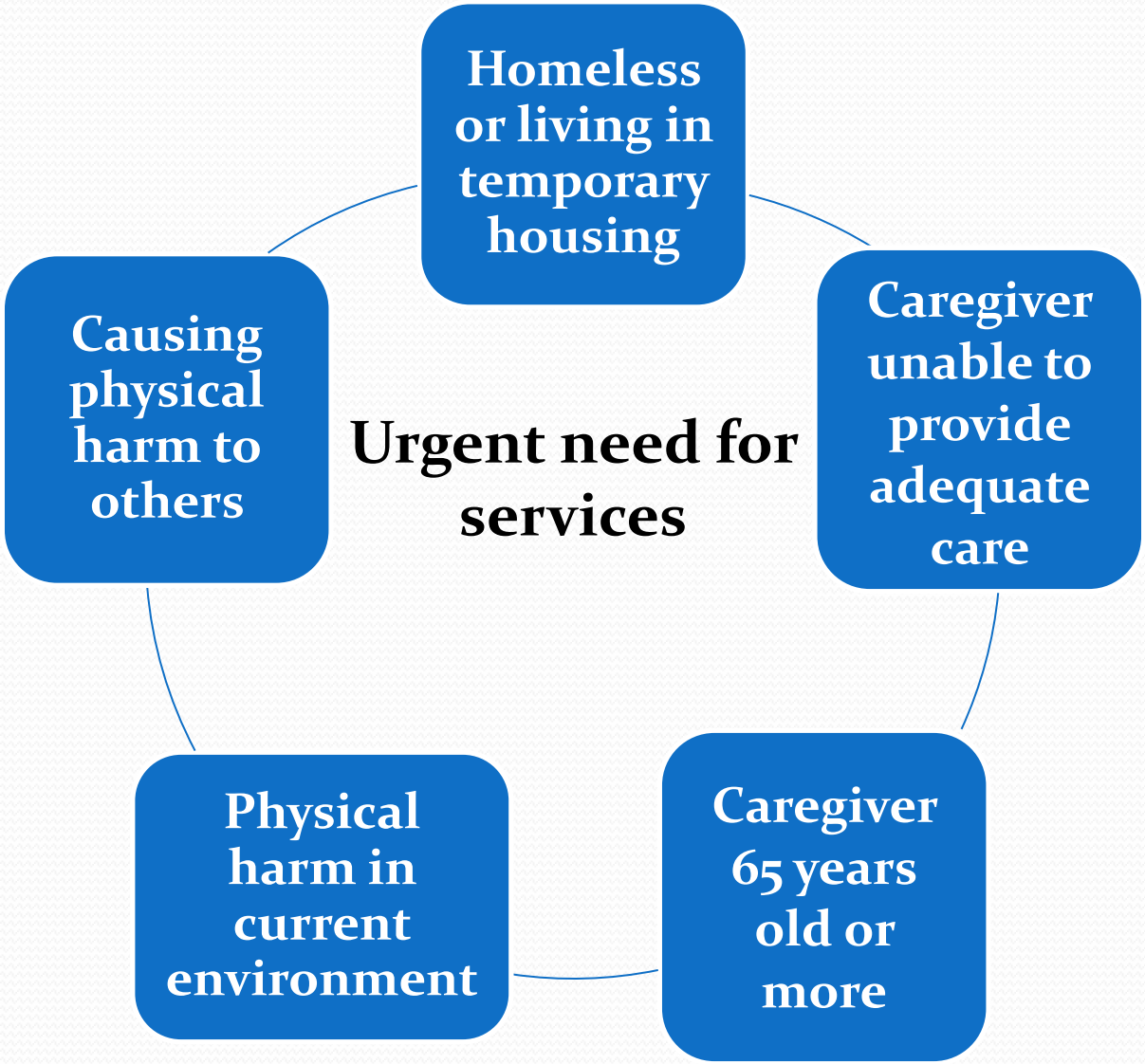
Services of Short Duration

Services of Short Duration

Target Group – People in Crisis Prevention

Guiding Principle - Given that people on the DDA Waiting List in the Crisis Prevention category are at risk of crisis within one year, provide funding during FY 12 for services and/or supports to address and prevent the crisis from occurring.

Crisis Prevention Priority



Proposed Approach

1. Provide ***funding for services and supports that address the immediate crisis trigger(s)***
   - *One time only* (e.g. payment of outstanding utility or medical bills, *etc.)* or
   - *Services of short duration* (i.e. respite services, behavioral support services, etc.)

2. If needed, provide funding for ***intervention services to support the person or family to prevent future crisis or the reoccurrence of the crisis***

Information for People and Families

- Send **letters** informing people of the ability to access funding for services and assistance available.
- Develop "**frequently asked questions**" document and post on DDA website.
- Provide **user friendly guide** for people and families to understand intent of services, supports available, and resources available (i.e. DDA licensed providers, local services, funding, etc.).

Support for People and Families

- Provide assistance for people and families via DDA to identify service and support needs, service providers, and assist with request submission. Assistance with this can be obtained from:

  - ✓ Resource Coordination providers
  - ✓ Low Intensity Support Services providers
  - ✓ Family and Individual Support Services providers

Service Examples

Services of short duration should be individualized, simple, meaningful, and address the immediate crisis need or trigger(s).

Examples include:

Individual and family counseling	Personal care	Day care
Specialized equipment	Health Services	Respite care
Housing adaptations	Transportation	Barrier Removal
Therapeutic Services	Community integration services	
Medical equipment	Employment related services	
Other services to mediate, provide advice, and/or support the person or family to prevent future crisis or the crisis reoccurrence		

Funding Guidelines

- Provide up to $10,000 per person to address the immediate crisis trigger(s) and intervention services.

- Provide funding for services to both DDA and non-DDA licensed service providers.

- Commitment and payment of funding until June 30, 2011. Services funded may carry over into next fiscal year.

- Utilize an exception protocol to meet unique needs or circumstances.

How Will People Access Funds?

- Plan for crisis needs with assistance from Resource Coordinator, Low Intensity Support Services (LISS) provider, or Family and Individual Support Services provider

- Complete a simple ***Services of Short Duration Funding Request*** form

- Submit the form to a LISS provider who will administer funding for services of short duration

Analysis and Expansion

- Expand targeted group for services of short duration based on tracking and analysis of actual and projected expenditures under the initiative

- Evaluate the impact of the funding

Projected Funds Available for Services of Short Duration in FY 12

	Total Funds	General Funds	Federal Funds
People in Crisis Resolution as of May 24th	$8.5 Million	$5.6 Million	$2.8 Million
People expected to join the crisis resolution category during the fiscal year	$5.6 Million	$3.6 Million	$1.8 Million
Total Expenses for People in Crisis Resolution	$14.1 Million	$9.2 Million	$4.6 Million
Available Funds for People in Crisis Prevention		**$5.8 Million**	

The estimate for available funds for Crisis Prevention is based on the difference between $15 million minus $9.2 million (the projected general fund cost to support people in crisis resolution during the fiscal year).

Services of Short Duration

Target Group – People on DDA Waiting List in Crisis Prevention priority category

DDA Waiting List		
Eligibility Category	**Crisis Prevention**	**Total**
Developmental Disability	**764**	**4203**
Supports Only	**375**	**2335**
Total	**1139**	**6538**

DDA Waiting List data as of July 1, 2011

Potential Numbers of People Who Could Receive Funding

Minimum - 580 people

- This calculation is based on the 580 people utilizing the full $10,000 funding allocation.

(580 people x $10,000 = $5.8 M)

Maximum - 1,096 people

- This calculation is based on the 1,096 people utilizing FISS cost mode of $5,290.

(1096 people x $5290 = $5.79 M)

Estimates are based on funding for services and supports that address the immediate crisis trigger and intervention services ***only.***

Note: FISS Mode based on FY 10 data

Questions